

Detailed Rules on the Purpose of Use of Personal Information

Established : April 1, 2005

Revised : October 4, 2023

The Company will use the personal information of our customers and others within the scope of the following purposes.

Types of Information	Purpose of Use
Personal information of customers and business partners	1. To provide information, communicate, and respond to inquiries regarding products and services provided or developed by the Company. 2. To use for marketing activities related to our products and services. 3. To consider transactions, and to conclude, execute, and terminate contracts. 4. To conduct business meetings and negotiations. 5. For operations related to the above purposes.
Personal information of shareholders	1. To exercise rights and fulfill obligations under the Companies Act. 2. To provide various benefits to shareholders, such as sending shareholder reports, complimentary gifts, and other materials. 3. To implement various measures to facilitate smooth relations between shareholders and the Company, such as requesting cooperation for surveys and sending rewards. 4. For shareholder management, including the creation of shareholder data based on prescribed standards under various laws and regulations. 5. To provide information and communicate regarding the Nikko Group. 6. For operations related to the above purposes.

Information on employees, officers, etc., and recruitment information	1. For proper employment and labor management, welfare benefits, and various legally required procedures. 2. To pay appropriate compensation to employees. 3. To keep track of and communicate with former employees. 4. To implement measures required by laws and internal standards to ensure the safety of employees. 5. For various applications to acquire qualifications necessary for business operations. 6. For business-related communication with employees of group companies, affiliates, and partner companies. 7. To send materials to members in relation to the operations of the Employee Stock Ownership Plan. 8. For the management of applicants for new graduate and mid-career recruitment. 9. For operations related to the above purposes.
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